

Bingo centre operator's licence – request for approval as an associated individual

This package contains the application and information material for approval as an associated individual of a bingo centre operator.

How to apply

This is an interactive PDF form which allows you to:

- complete the form using a computer or tablet
- save your progress and continue at a later time
- print the completed form to sign and return.

You are still able to print the form and complete it by hand if you prefer.

This form has been designed to be completed using the free Adobe Acrobat Reader software. To download this free software, [please visit the following link](#) or search for the free “Adobe Acrobat Reader” on your devices app store.

This form may not function as intended if you use any other software.

Send application to:

Victorian Gambling and Casino Control Commission
GPO Box 1988
Melbourne Vic 3001

or lodge in person at:

Level 4, 12 Shelley Street
Richmond Vic 3121

or via email to:

contact@vgccc.vic.gov.au

Need help?

For more information on how to apply for a gambling licence or permit:

- visit the Victorian Gambling and Casino Control Commission (VGCCC) website at vgccc.vic.gov.au
- telephone the VGCCC on 1300 599 759
- email the VGCCC at contact@vgccc.vic.gov.au

Privacy policy

The VGCCC is committed to responsible and fair handling of personal information consistent with the *Privacy and Data Protection Act 2014* and its obligations under the *Gambling Regulation Act 2003* (the Act).

Confidentiality provisions

Information provided in your application must not be disclosed by the VGCCC or its staff to someone else, except for the purposes stated in Part 1, Division 6 of Chapter 10 of the Act. Go to vgccc.vic.gov.au to access this Act.

Important Information

Bingo centre operator's licence – request for approval as an associated individual

This application is an important part of the approval process for a new Bingo Centre Operator's Licence, which cannot be granted by the VGCCC until all associates of the applicant have been identified and approved. Current licensees must ensure that this form is submitted for approval of all new associates.

A licence application will not be accepted by the VGCCC if an incomplete associate form is submitted. In such circumstances, the full application will be returned to the applicant with instructions that the relevant associate form is incomplete and must be completed before the application will be accepted. Accordingly, it is crucial that you review this associate form and Lodgement Guide (see page 3) to ensure it is fully completed and that **all** required attachments are provided. This simple check could save unnecessary delays in registration and processing time of any new licence application connected to this application. **Note:** The application form will be returned if a response to every applicable question is not provided or if all required attachments are not enclosed.

Directions for Completion

Answer every question and use BLOCK letters-

- If a question does not apply, or if there are no details to disclose in response to a particular question, print N/A (not applicable) in response.
- If the space available is insufficient, please supply the required information on an attachment page(s). If you do so, begin each answer with the title and reference of the question you are responding to.

Prior to lodging this application, please ensure that you have attached all required items.

The application form will be returned to you if you do not provide a response to all applicable questions or if all required attachments are not enclosed.

- **Note:** There is currently no fee payable for this application.

False or Misleading Information

It is an offence under the *Gambling Regulation Act 2003* (referred to in this form as the Act) to give information that is false or misleading. If you give false or misleading information, your application may be refused and/or you may be prosecuted and fined up to 60 penalty units (go to Gambling Fees and Fines at vgccc.vic.gov.au to confirm the current value of a penalty unit).

Are you required to notify changes while your application is in progress?

Between lodging this form and its determination by the VGCCC, you must notify the VGCCC in writing about any changes to the information you have provided (including any documents lodged with the application). Failure to do so may result in you being prosecuted and fined up to 60 penalty units.

Are you required to notify changes following determination of your application?

If approval is granted, you will also be given a set of Directions which give you an ongoing responsibility to notify the VGCCC of specified changes in your situation. Whenever a specified change takes place, you must give written notice to the VGCCC within 14 days of the change taking place. If you do **not** notify the VGCCC of a specified change, disciplinary action may be taken against you and/or you may be prosecuted and fined up to 60 penalty units.

Lodgement of Associate Forms

Associate documentation forms part of an application for a new Bingo Centre Operator's Licence or an application to renew such a licence under section 8.5.11 of the Act and must be forwarded to the VGCCC as part of that licensing application.

The VGCCC is aware of the confidentiality of personal information provided in associate forms. Accordingly, associate forms may be lodged with an application for a Bingo Centre Operator's Licence in a sealed envelope with instruction that it may only be opened after it has been lodged with the VGCCC.

Where an associate has failed to provide necessary information, the associate form will be returned in a sealed envelope (marked 'strictly confidential' and 'to be opened by addressee only') to the licence applicant (or the authorised officer on behalf of the applicant to arrange completion by the associate and re-lodgement of the form with the VGCCC).

Bingo centre operator's licence – request for approval as an associated individual

STEP 1:

Apply for your Historical Personal Name Extract and/or an equivalent report from an overseas agency – *Refer to Attachment 1 for full details*

Apply for the National Police Certificate (National Name Check) and/or an equivalent report from the relevant Police Agency – *Refer to Attachment 2 for full details*

Apply for your Credit Report and/or an equivalent report from the relevant overseas agency – *Refer to Attachment 3 for full details*

STEP 2:

When you have received your National Police Certificate, Historical Personal Name Extract and Credit Report you may:

Complete the application form and sign the form where required (Note: Answer all questions and ensure that all names included in the application form match those in your National Police Certificate and Credit Report)

Attach a **copy** of the minute or resolution showing both your appointment to the committee of management and all current committee members of the applicant/licensee or the associated entity must be provided, if applicable–Refer Q3

Attach, if applicable, a **copy** of independent documentation confirming the court outcome or, if settled out of court, a **copy** of the settlement agreement–Refer to 'legal action' section for full details

Attach your National Police Certificate, Historical Personal Name Extract, Credit Report (**Note:** Ensure that all pages of your Credit Report are submitted) and any other required documents

STEP 3:

Lodge form with VGCCC

Strictly Confidential

Request for approval for an associated individual of a bingo centre operator

OFFICE USE ONLY

Allocation date: / /

Associated entity No: _____

Assigned to: _____

Details of Applicant or Licensee

1. This request for approval as an associate relates to (tick applicable box and provide details below):

(a) an application for a new Bingo Centre Operator's Licence

(b) an application for Renewal of a Bingo Centre Operator's Licence

(c) an application as a new associate of the holder of a current Bingo Centre Operator's Licence

Bingo Centre Operator's name:

Bingo Centre Operator's Licence number (if applicable):

2. Reason for classification as an associate (tick appropriate box/es):

(a) Company director/secretary of the applicant or licence holder

(b) Committee member of the applicant or licence holder

(c) Company director/secretary of an associated entity of the applicant or licence holder:

Associated Entity's Name:

(If you are a company director/secretary of **more than one** associated entity, provide the name of **each** entity on an attachment page)

(d) Committee member of an associated entity of the applicant or licence holder:

Associated Entity's Name:

(If you are a committee member of **more than one** associated entity, provide the name of **each** entity on an attachment page)

(e) Shareholder of the applicant or licence holder

(f) Shareholder of an associated entity of the applicant or licence holder:

Associated Entity's Name:

(If you are a shareholder of **more than one** associated entity, provide the name of **each** entity on an attachment page)

(g) Authorised officer for the applicant or licence holder

(h) Appointor or unit holder of a trust linked to the applicant/licensee/ associated entity who, by virtue of the Trust Deed, is empowered individually or as a group to remove/change the Trustee or to influence the Trustee's decisions.

(i) Other - Explain reason for classification as an associate:

3. Have you ticked either Q2 (b) or (d)?

YES NO

If **NO**, proceed to Q4.

If **YES**, you must provide a copy of the minute or resolution showing both your appointment to the committee of management and **all** current committee members of the applicant, licence holder or associated entity (whichever are applicable).

Have you attached a copy of the minute or resolution?

YES

Personal Particulars

IMPORTANT INFORMATION

You **must** ensure that **all** names noted in Q4(a), (b) & (c) are advised to the providers of your National Police Certificate and Credit Report.

4. (a) Mr/Mrs/Miss/Ms (Circle) Male Female

Surname:

First name:

Middle name(s):

Date of Birth:

(dd/mm/yyyy)

Place of birth:

Country

State or region

Arrival date in Australia (if applicable):

(dd/mm/yyyy)

Victorian Gambling and
Casino Control Commission
ABN 56 832 742 797

Level 4, 12 Shelley Street
Richmond VIC 3121
GPO Box 1988
Melbourne VIC 3001

contact@vgccc.vic.gov.au
1300 599 759
vgccc.vic.gov.au



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Associated entity No: _____

Assigned to: _____

(b) Are you currently known or have you previously been known by another name(s), including any alias(es), Anglicised name(s), maiden name, married name(s) and name(s) changed via deed poll?

YES NO

If **YES**, provide additional details below:

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

(c) Have you had any legal name changes?

YES NO

If **NO**, proceed to Q5.

If **YES**, provide additional details below:

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Have further details been provided on an attachment page?

YES NO

5. Current residential address:

6. Postal address (if same as Q5, write 'as above'):

7. Contact Details:

Daytime telephone number: Mobile telephone number:

Email address:

Business Interests

IMPORTANT INFORMATION

Historical Personal Name Extract from the Australian Securities and Investments Commission (ASIC) and/or Equivalent Report from Other Jurisdictions

Regardless of your place of residence, you are required to provide an Historical Personal Name Extract from ASIC (a copy will not be accepted). If you are not recorded on ASIC's database you will be provided with a letter to that effect. In addition, if you have ever been a director or company secretary of a company registered in a jurisdiction outside Australia, you are requested to submit an equivalent report to the ASIC Historical Personal Name Extract from the regulatory authority in each of those jurisdictions with this form. Refer to Attachment 1 for further instructions for obtaining an extract from ASIC and for the type of information an equivalent report is expected to reveal.

8.(a) Is your Historical Personal Name Extract from ASIC enclosed?

YES

(b) Have you ever been a director or company secretary of a company registered in a jurisdiction outside Australia?

YES NO

If **NO**, proceed to Q9.

If **YES**, is an equivalent report from the regulatory authority in each of those jurisdictions attached?

YES NO

If **NO**, provide reasons why an equivalent report from the regulatory authority in any of those jurisdictions has not been enclosed on an attachment page.

Have further details been provided on an attachment page?

YES NO

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Associated entity No: _____

Assigned to: _____

IMPORTANT INFORMATION

Q9 relates to any business interests, directorships or partnerships (either within or outside Australia), not disclosed in your ASIC Extract or any equivalent report. When completing Q9 you must disclose details of:

All directorships (either within or outside Australia), including positions of director, secretary etc, you hold or have resigned from (whether or not the company is trading) during the last 3 years; and

All business interests or partnerships you have been involved in (either within or outside Australia), including provision of finance of A\$50,000 or more in relation to any business dealing during the last 3 years.

9. List below any business interests, directorships or partnerships (either within or outside Australia), not disclosed in your Extract from ASIC or any equivalent report:

Have further details been provided on an attachment page?

YES

NO

Racing and Gambling History

10. Other than in Victoria, have you ever applied for ANY racing and gambling industry licence, approval, authorisation or registration?

YES

NO

If **NO**, proceed to Q12.

If **YES**, in respect of each application complete (a) & (b) below and proceed to Q11.

(a) If the application was granted/approved or is still pending, provide details below:

Type of licence, approval etc:

Licence No. (if known):

Name of Racing/Gambling Regulator (if known):

Name shown on licence, approval etc

Licence/approval/association dates (dd/mm/yyyy):

to

(b) If the application was refused or withdrawn, provide details below:

Type of licence, approval etc:

Licence No. (if known):

Name of Racing/Gambling Regulator (if known):

Name shown on licence, approval etc

Reason for refusal or withdrawal:

Have further details been provided on an attachment page?

YES

NO

11. Have you personally, or any entity that you have been an officer of, ever been the subject of disciplinary action, or had an application for any licence or permit refused?

Have you personally ever been disqualified from the management of an entity?

(Note: Include details of any special conditions or restrictions imposed on a licence, approval, authorisation or registration)

YES

NO

If **NO**, proceed to Q12.

If **YES**, provide details below:

Type of licence, approval etc:

Licence No. (if known):

Name of Racing/Gambling Regulator (if known):

Name shown on licence, approval etc:

Type of action taken and reason:

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Allocation date: / /

Associated entity No: _____

Assigned to: _____

Date of disqualification (dd/mm/yyyy):

Jurisdiction*:

Type of action taken and reason:

Reason for disqualification/proceedings:

Have further details been provided on an attachment page?

YES

NO

Have further details been provided on an attachment page?

YES

NO

12. Have you been a member of or been employed by the Victorian Gambling and Casino Control Commission or its predecessors within the last four years?

YES

NO

If **NO**, proceed to Q13. If **YES**, provide details below:

Position Held:

Date finished (mm/yyyy):

13. Have you ever been excluded from a casino or racecourse?

YES

NO

If **NO**, proceed to Q14.

If **YES**, provide details on an attachment page:

Have further details been provided on an attachment page?

YES

NO

Other Regulatory History

14. Have you personally, or any entity that you have been an officer of, ever been investigated by a regulatory body or law enforcement agency? (e.g. ASIC, RIA, APRA, ACCC)

YES

NO

If **NO**, proceed to Q15. If **YES**, provide details below:

Type of licence, approval etc:

Licence No. (if known):

Name of Regulator (if known):

Date action taken (mm/yyyy):

Legal Action

15. Have you personally, or any entity that you have been an officer of, ever been the defendant/respondent to any legal action in the past 10 years (including in progress)?

YES

NO

If **NO**, proceed to Q16. If **YES**, provide the following details for each action:

Nature of legal action:

Plaintiff:

Jurisdiction*:

Result/Settlement (indicate here if not finalised):

Court or tribunal where matter was or is being heard (if applicable):

Case no. issued by court/tribunal (if known):

Date of delivery of judgement (if known):

If a matter has been finalised you **must** provide a **copy** of independent documentation confirming the court outcome or, if settled out of court, a **copy** of the settlement agreement, regardless of whether any terms of the agreement are confidential. Copy of the court outcome or settlement agreement attached?

YES

Have further details been provided on an attachment page?

YES

NO

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Allocation date: / /

Associated entity No: _____

Assigned to: _____

Charges, Findings of Guilt and Convictions

IMPORTANT INFORMATION

If you currently live in Australia or if you have lived in Australia for at least 12 months during the last 10 years this form **must** be accompanied by your **original** National Police Certificate (NPC) obtained by you from Victoria Police (refer to instructions at Attachment 2). Your NPC **must** be obtained not more than 3 months before this form is lodged and you **must** ensure that **all** names noted in Q4(a), (b) & (c) are advised to Victoria Police when applying for your NPC. If all such names are not shown in your NPC, your application will **not** be accepted. To confirm the fee for your NPC, go to police.vic.gov.au.

You **must** disclose details at Q17 of any offence **not** included in your NPC, including participation in a Diversion Program, spent convictions, findings of guilt, suspended sentences, matters where a good behaviour bond is given and/or matters where no conviction is recorded, other court orders and provide details of all outstanding charges. Failure to disclose such matters may affect your application. However, Children's Court matters more than 10 years old and non-custodial traffic matters, which are those for which a penalty other than a jail sentence or community based order was given, are **not** matters which you are required to disclose at Q17.

In addition, if you currently live outside Australia or you have lived outside Australia for more than 12 months during the last 10 years you are requested to seek an equivalent report from the relevant Police Agency in that jurisdiction*. An equivalent report, which must be an original document or a certified copy of the original document, would be expected to reveal details in relation to any convictions, findings of guilt (either with or without conviction) and any matters still outstanding against you.

16. (a) Do you currently live in Australia or have you lived in Australia for at least 12 months during the last 10 years?

YES NO

If **YES** to Q16(a), an NPC must be provided.

(b) Do you currently live outside Australia or have you have lived outside Australia for more than 12 months during the last 10 years?

YES NO

If **YES** to Q16(b), you are requested to seek an equivalent report from the relevant Police Agency which is an original document or a certified copy of the original document. If an equivalent report is not enclosed, provide an explanation on an attachment page.

Have further details been provided on an attachment page?

YES NO

17. (a) Have you ever been charged with, or found guilty of, a criminal offence, or been investigated by a law enforcement agency for an alleged offence against you, that is not recorded on your attached Police documentation?

YES NO

(b) Have you ever participated in a Diversion Program?

YES NO

If **NO** to Q17(a) and (b), proceed to Q18.

If **YES** to Q17(a) or (b), provide details below in relation to each matter.

Nature of Offence/Charge:

Date (dd/mm/yyyy):

Jurisdiction*:

Result:

Result of Hearing or other Disposition (if known)

Have further details been provided on an attachment page?

YES NO

* "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

Financial Particulars

18. Have you ever been an officer of an entity that has been wound up, placed into liquidation, had a receiver, controller, administrator or agent for a mortgage appointed, entered into a scheme of arrangement, or involved in similar proceedings?

YES NO

If **NO** to Q18, proceed to Q19.

If **YES** to Q18, complete the following for each matter.

Company/Incorporated Association name:

Commencement date (dd/mm/yyyy):

Type of proceedings:

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Associated entity No: _____

Assigned to: _____

Circumstances leading to proceedings:

Details of administrator, liquidator, receiver, controller, regulatory body or law enforcement agency:

Name:

Telephone number:

Have further details been provided on an attachment page?

YES

NO

IMPORTANT INFORMATIONYou **must** obtain a Credit Report if:

- you currently live in Australia; or
- you do not currently live in Australia but have lived in Australia at any time during the last 10 years; or
- you are or have been during the last ten years a director of officeholder of an Australian company.

If your circumstances do not meet the criteria noted above, a Credit Report is **not** required. **Note:** If you live or have lived outside Australia for at least 12 months during the last 10 years you are requested to submit an equivalent report from the relevant agency in that jurisdiction*. You **must** ensure that all names noted in Q4(a) - (c) are advised when applying for your Credit Report. If any such names are not shown in your Credit Report, your application will **not** be accepted. Instructions on obtaining your Credit Report are provided at Attachment 3.

19. Have you enclosed your Credit Report and/or an equivalent report from a relevant overseas jurisdiction*?

YES

NO

If **YES**, proceed to Q20.

If **NO**, provide reasons why a Credit Report and/or an equivalent report from a relevant overseas jurisdiction has not been enclosed on an attachment page.

Have further details been provided on an attachment page?

YES

NO

20. Are you the personal guarantor for someone else's debt or loan (including less than \$5,000)?

YES

NO

If **NO**, proceed to Q21

If **YES**, is any person, including any corporation in respect of whom you have given a guarantee in default of any agreements with respect to payment of a debt or loan?

YES

NO

If **YES**, provide details on an attachment page.

Have further details been provided on an attachment page?

YES

NO

* "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

21. Other than disclosed on your Credit Report, are you in default of any debt repayment or loan (including less than \$5,000)?

(**Note:** Do not include details unless a payment is overdue or in arrears)

YES

NO

If **NO**, proceed to Q22.If **YES**, complete the following:

Financial Institution or creditor:

Total amount owing (\$):

Total amount in default (\$):

Number of days payment is overdue:

Financial Institution or creditor:

Total amount owing (\$):

Total amount in default (\$):

Number of days payment is overdue:

Financial Institution or creditor:

Total amount owing (\$):

Total amount in default (\$):

Number of days payment is overdue:

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Allocation date: / /

Associated entity No: _____

Assigned to: _____

Have further details been provided on an attachment page?

YES NO

22. (a) Other than disclosed on your Credit Report, have you personally ever been subject to bankruptcy or any insolvency arrangements?

YES NO

If **NO**, proceed to Q22(b).

If **YES**, complete the following and provide details of circumstances leading to bankruptcy/arrangement proceedings on an attachment page.

Date of Bankruptcy/Arrangement (dd/mm/yyyy):

Date of Discharge/Completion (proposed date) (dd/mm/yyyy):

Note: If you are a discharged bankrupt, a copy of your Certificate of Discharge From Bankruptcy must accompany this application (Do not send the original certificate).

Is a copy enclosed?

YES NO

Name of Trustee:

Address:

Telephone number:

(b) Are bankruptcy or any like proceedings pending?

YES NO

If **NO**, proceed to Declaration.

If **YES**, provide details of circumstances leading to these proceedings on an attachment page.

Have further details been provided on an attachment page?

YES NO

* "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

Declaration

I hereby:

- (i) acknowledge that I have read and understood the questions in this application form and the directions for answering them;
- (ii) confirm that I have answered the questions truthfully and completely to the best of my knowledge; and
- (iii) consent to all information relating to me, in or pursuant to this application form, whether provided verbally or in writing, being made available to the applicant for a Bingo Centre Operator's licence in the event that the information and material provided by me may raise matters which the VGCCC considers should be provided or discussed with the applicant.

Signature of applicant:

Date (dd/mm/yyyy):

Signature of witness*:

Date (dd/mm/yyyy):

Print name of witness*:

* Any adult can be a witness.

Request for Approval for an Associated Individual of a Bingo Centre Operator

Authorisation by Applicant Under Section 10.1.32(1)(a)

Gambling Regulation Act 2003

Who must complete this form?

This form must be completed for **all** persons requesting approval as an associate of an applicant for a new bingo centre operator's licence. Accordingly, if you ticked (a) or (b) in response to Q1, you **must** read the following important information and complete the form below. If you ticked (c) in response to Q1, indicating that you are seeking approval as a new associate of the holder of a current licence, you are **not** required to complete this form.

Important Information

By completing this form, you will indicate your decision to authorise or not authorise the Victorian Gambling and Casino Control Commission (VGCCC) and its staff to disclose the information outlined below. The VGCCC and its staff are bound by Division 6 of Part 1 of Chapter 10 of the *Gambling Regulation Act 2003*, which contains strict confidentiality provisions. Any protected information that is recorded in this document is subject to Division 6 of Part 1 of Chapter 10 of the Act and cannot be disseminated except in accordance with those provisions. A copy of the relevant sections of the Act is provided with this application. If endorsed, VGCCC staff will be authorised to advise the licence applicant (to which this request for approval relates) that information obtained by the VGCCC in relation to your associated individual form requires further assessment or investigation. The final determination of the licence application may be delayed pending the outcome of the assessment/investigation of your associated individual form.

Your endorsement of the following form **does not mean** you allow the release of any information or details contained in your associated individual form, or the release of any information obtained by the VGCCC in the course of its investigations. The **only** information provided to the licence applicant will be the fact that the application for such a licence will be delayed pending the outcome of the assessment/investigation of your associated individual form.

You do not have to authorise the release of this information. However, if you do not it may significantly delay any application this associated individual form relates to.

Indicate your decision to authorise or not to authorise the release of this information by circling the appropriate choice in the below form. If you have any questions regarding this matter contact the VGCCC on telephone 1300 599 759 or email your enquiry to the VGCCC at contact@vgccc.vic.gov.au.

AUTHORISATION BY ASSOCIATED INDIVIDUAL

In accordance with section 10.1.32(1)(a) of the *Gambling Regulation Act 2003*,

Associate's details:

Name: _____
(Full name of associate)

Address: _____ ('associate')
(Full address of associate)

AUTHORISATION

The associate hereby **AUTHORISES / DOES NOT AUTHORISE (circle the appropriate statement)** the VGCCC and its staff to inform the applicant for a bingo centre operator's licence to which this associate form relates that determination of the bingo centre operator's licence application may be delayed due to assessment of my associate form requiring further or additional investigation.

Signature of associated individual:

Date (dd/mm/yyyy):

Request for Approval for an Associated Individual of a Bingo Centre Operator

Financial Information Release Form

Gambling Regulation Act 2003

In the matter of this application for approval as an associated individual of a Bingo centre operator by:

Name: _____ of _____
(Full name of applicant)

Address: _____ ('applicant')
(Full address of applicant)

The applicant hereby authorises all **persons** who receive a photocopy of this **release form** from the Victorian Gambling and Casino Control Commission (the VGCCC) and its staff to undertake the authorised actions for the **authorised purposes** as set out below:

AUTHORISED ACTIONS

1. To allow the VGCCC and its staff or any other person appointed in writing by the VGCCC, to inspect and obtain a copy of any document, record or correspondence in the possession or under the control of any person, which contains information pertaining to the associate (or to the associate and another person and to any subsidiary, related body corporate, trust or partnership to which the associate was a party), including but not limited to:
 - any loan information;
 - any information relating to an account held with a financial institution (passbook, statement or other), including information relating to withdrawals, deposits, transfers and balances;
 - any information (including trust account information) of any solicitor, accountant, real estate agent or other person who has the management or care of business or financial matters on behalf of the associate;
2. To answer written or verbal queries of and to provide information (by any means) to the VGCCC and its staff or any other person appointed in writing by the VGCCC to undertake the authorised actions, about the financial resources of the associate.

RELEASE

In consideration of a bank, other financial institution, solicitor, accountant, financial adviser or any other person or organisation who has lent money to or borrowed from the applicant providing any of those particulars recorded against the applicant as detailed above under the heading "Authorised actions", **I hereby release** the VGCCC to the full extent of the law and against any claim or demands of any kind and any actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken or made in respect of the use or misuse of the information obtained out of this authorisation

AUTHORISED PURPOSES

To enable the VGCCC to make an assessment of the applicant's financial stability and that, in conducting on-going monitoring, an Applicant's continuing financial stability. This consent commences on the date below and continues until the later of:-

- the VGCCC considers that I am no longer an associated individual of a bingo centre operator; or
- the expiry of any bingo centre operator's licence (if granted).

Signature of applicant:

Date (dd/mm/yyyy):

NOTES

1. A photocopy of this form will be considered as effective and as valid as the original.
2. A reference in this **financial information release form** to the VGCCC includes is a reference to a member of its staff and any other person appointed in writing by the VGCCC.

Request for Approval for an Associated Individual of a Bingo Centre Operator

Consent For Release Of Information by Law Enforcement Agencies

Gambling Regulation Act 2003

In the matter of this application for approval as an associate of a bingo centre operator and for the purposes of ongoing monitoring by:

Name:

(Full name of applicant)

Address:

(‘applicant’)

(Full address of applicant)

CONSENT

The applicant hereby consents to all probity investigations carried out by the Victorian Gambling and Casino Control Commission (the VGCCC) and its staff, including but not limited to:-

(a) Inspection of criminal, intelligence or other records kept or maintained by:

- the Victoria Police;
- any State, Territory, federal or overseas police force;
- any crime investigation agency;
- any corporate regulatory agency;
- any gambling regulatory body;
- any casino regulatory body;
- any Court;
- any government agency.

(collectively referred to as ‘law enforcement agencies’)

(b) Release of particulars of any convictions, findings of guilt or other information recorded against me by the law enforcement agencies including, without limitation:-

- details of all prosecutions, including acquittals and matters withdrawn or dismissed and all findings of guilt, whether or not a conviction was recorded;
- matters or charges still outstanding;
- law enforcement agencies intelligence howsoever obtained;
- any other matters recorded as arising either in Victoria or elsewhere by any law enforcement agency and considered relevant to the investigation or assessment of my application for approval as an associate of a bingo centre operator under the *Gambling Regulation Act 2003* to the VGCCC.

RELEASE

Upon signing this consent, the applicant hereby releases the VGCCC, each law enforcement agency and their servants, agents or contractors to the full extent of the law and against any claim or demands of any kind and any actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken or made in respect of the use or misuse of the information obtained out of this consent, including particulars of any conviction, findings of guilt or other adverse material purporting to relate to the applicant.

ACKNOWLEDGMENT

I acknowledge having read and understood the terms of the consent and the release and have noted that independent legal advice may be sought before signing this consent. This authorisation commences on the date below and continues until the later of:-

- the VGCCC considers that I am no longer an associate of a bingo centre operator; or
- the expiry of any bingo centre operator’s licence (if granted).

A photocopy of this form will be considered as effective and as valid as the original.

EXECUTION AS A DEED

Signature of applicant:

Date (dd/mm/yyyy):

Signature of witness*:

Printed name of witness (any adult can be a witness):

Request for Approval for an Associated Individual of a Bingo Centre Operator

Attachment Page

NOTE:

This attachment page is provided for additional information that requires more space than that provided in the original question. Precede your entry with the question number and title to which the additional information relates.

Please copy if additional attachment pages are required.

Have you used an additional attachment page to provide any further information? YES NO

General Information

Who is an Associate?

For the purposes of the Act an “associate” of a gambling industry participant is—

- (a) a person who holds or will hold any relevant financial interest, or is or will be entitled to exercise any relevant power (whether in right of the person or on behalf of any other person) in the gambling business of the gambling industry participant, and by virtue of that interest or power, is able or will be able to exercise a significant influence over or with respect to the management or operation of that business; or
- (b) a person who is or will be an executive officer, whether in right of the person or on behalf of any other person, of the gambling business of the gambling industry participant; or
- (c) if the gambling industry participant is a natural person—a person who is a relative of the gambling industry participant.

The Act also provides the following definitions:

- **executive officer**, in relation to a body (whether incorporated or not), means—
 - (a) a director, secretary or member of the committee of management of the body (by whatever name called); or
 - (b) any other person who is concerned with, or takes part in, the management of the body, whether or not the person’s position is given the name of executive officer;
- **relative**, in relation to a person, means—
 - (a) the spouse or domestic partner of the person;
 - (b) a parent, son, daughter, brother or sister of the person; or
 - (c) a parent, son, daughter, brother or sister of the spouse or domestic partner of the person;
- **relevant financial interest**, in relation to a gambling business, means—
 - (a) any share in the capital of the business; or
 - (b) any entitlement to receive any income derived from the business; or
 - (c) any entitlement to receive any payment as a result of money advanced;
- **relevant power** means any power, whether exercisable by voting or otherwise and whether exercisable alone or in association with others—
 - (a) to participate in any directorial, managerial, or executive decision; or
 - (b) to elect or appoint any person as an executive officer.

Examples of Associates

This form must be completed by all natural persons who qualify as an ‘associate’ in accordance with the above definition. Examples of persons captured as associates include, but are not limited to, the following:

- each director, public officer, chief executive, chief financial officer, committee member or board member of the applicant/ licensee;
- shareholders who own 10% or more of shares of the applicant/ licensee with either voting rights and/or income entitlements;
- all committee members (or members of the board of management of the club);
- partners with a partnership interest of 10% or greater in income or for voting entitlements of the applicant/ licensee;
- where the applicant/ licensee is a trust, the trustee of the trust. In the case of a corporate trustee, all directors of that trustee company;
- trust unit holders or beneficiaries who, by virtue of the Trust Deed, have voting rights enabling them to remove or change the trustee, or to influence the decisions of the trustee; and
- any associate who could exercise a significant influence over or with respect to the management or operation of the gaming machine business.

Subsequent investigations may reveal additional associates of an applicant/ licensee. These persons (or entities) will be required to complete and lodge an appropriate associate form.

How long does an approval last?

The approval of an associate lasts for the life of the current Bingo Centre Operator’s Licence (approval may be affected if disciplinary action is required).

Attachment 1 – Historical Personal Name Extract

An Historical Personal Name Extract is a print-out provided by the **Australian Securities and Investments Commission (ASIC)** which contains the following information:

- person's name and birth details
- companies against which the person's name is recorded, number and current status
- role(s)* held and residential address
- date appointed and date ceased, where applicable.

* The roles identified in a personal name search include:

- | | | |
|---------------------------|--------------------------------|--------------------------|
| • Administrator | • Local Agents | • Receiver |
| • Appointed Auditor | • Member of a Futures Exchange | • Representative |
| • Appointed Liquidator | • Official Manager | • Representative Futures |
| • Deputy Official Manager | • Petitioner Court Action | • Scheme Manager |
| • Director | • Provisional Liquidator | • Secretary |

When making your search application to ASIC, ensure that you specify that you require an Historical Personal Name Extract and **not** a Current Personal Name Extract. An Historical Personal Name Extract identifies **both current and historical** roles held by you in organisations registered with ASIC, while the Current Extract identifies only current roles. If your associate form is lodged with an extract other than an Historical Personal Name Extract it will be considered incomplete and will be returned to you to be re-lodged when you have obtained the correct extract. **Note:** If you are not recorded on ASIC's database you will be provided with a letter to that effect.

If the Historical Personal Name Extract you provide fails to meet any of the following requirements your associate form will be considered incomplete and will be returned to you –

- an Historical Personal Name Extract rather than a Current Personal Name Extract must be lodged; and
- it **must not** be dated more than three (3) months from the date your application is lodged.

All matters detailed on your Historical Personal Name Extract may be taken into consideration by the VGCCC. Should you wish to dispute any of the information disclosed in your Historical Personal Name Extract you should do so with ASIC prior to lodging your associate form.

Fees

Fees are payable for searching ASIC databases. ASIC fees for on-line/telephone searches through brokers may differ from the fees charged at an ASIC Business Centre. Information brokers, however, may charge a service delivery fee in addition to the ASIC fee. The delivery fee may vary between brokers. ASIC does not regulate the amount of the broker delivery fees. ASIC can advise you of the cost of obtaining the Extract.

How to apply for your Historical Personal Name Extract

An Historical Personal Name Extract can be obtained from ASIC. You may also contact ASIC's Infoline or refer to the ASIC website to obtain details of regional ASIC Business Centres and ASIC Representatives or Information Brokers.

Contact Details

Website: asic.gov.au

Email: info.enquiries@asic.gov.au

ASIC's Infoline: 1300 300 630

Attachment 2 – National Police Certificate

If you live in Australia or have lived in Australia for at least 12 months during the last 10 years, an **original** National Police Certificate (NPC) **must** be provided when you lodge your VGCCC application form. Your NPC is obtained by submitting a 'Consent to Check and Release National Police Record' form (Consent form) to the Victoria Police. You may download the Consent form, which includes instructions for completion, at vgccc.vic.gov.au. If you have any difficulties in accessing the Consent form contact the VGCCC at contact@vgccc.vic.gov.au or on 1300 599 759.

NPC Application Fee

You must choose the "National Name Check" NPC option. You can confirm the fee for this type of NPC at www.police.vic.gov.au.

NPC Application Process

Should you have any other questions in relation to your police record check you may contact the Public Enquiry Service of Victoria Police on 1300 881 596 between 8am and 5pm. Please inform the customer service officer that you are a VGCCC applicant.

Please note that:

- a 100 point identity check is incorporated into the Consent form; and
- the completed Consent form, together with the certified documents and fee (cheque or money order only, payable to Victoria Police) must then be posted to Public Enquiry Service of Victoria Police for processing.

In addition, when completing the Consent form you must ensure that:

- you cross the box 'Casino or gaming licence' in 'Section E: Purpose of check', Option 1;
- choose the "National Name Check" option in Section A;
- provide your first name, middle name/s, surname [as stated in the Personal Particulars section of your VGCCC application form];
- provide any preferred given name to appear on your licence [as stated in the Personal Particulars section of your VGCCC application form]; and
- provide any alias(es), previous names, maiden name, name changes (legal or otherwise) as well as names you have used or by which you have been known [as stated in the Personal Particulars section of your VGCCC application form].

Note: If any such names are not shown in your NPC, your VGCCC application form will **not** be accepted and you will need to apply for a new NPC. Also, the NPC **must** be current at the time of lodgement of your VGCCC application form and not exceed three (3) months from the date of issue by the Victoria Police. If you do not provide your NPC, or enclose either a photocopied NPC or an NPC more than 3 months from the date of issue, your VGCCC application form will be considered incomplete and will be returned to you. A minimum of ten working days should be allowed from the date applications are received at the Public Enquiry Service for applications to be processed.

All matters detailed on your NPC are taken into consideration by the VGCCC and are essential to allow assessment of your character, honesty and integrity. Should you wish to dispute any of the information disclosed in your NPC you should do so **prior** to lodging your VGCCC application form, by writing to The Manager, Public Enquiry Service, Records Services Division, Victoria Police, PO Box 418, Melbourne Victoria 8005.

Attachment 3 – Credit Report

You **must** obtain an **original** Credit Report if you currently live in Australia, or if you have lived in Australia for at least 12 months during the last 10 years or if you are or have been a director or company secretary of an Australian company during the last 10 years. (**Note:** If your circumstances do not meet these criteria, a Credit Report is **not** required).

You may obtain a copy of your Credit Report from either illion or Equifax. These companies offer a number of services to monitor and manage your credit profile and adhere to the Privacy Act, Credit Reporting Code of Conduct and the National Privacy Principles by providing you with the right to obtain a copy of your Credit Report.

HOW TO OBTAIN YOUR CREDIT REPORT

illion

To obtain your Credit Report from illion please visit express.illion.com.au or alternatively, you may contact illion on 13 23 33 to arrange for its provision. Additional information may also be found at illion.com.au.

Equifax

To obtain your Credit Report from Equifax, please visit mycreditfile.com.au or alternatively, you may contact Equifax on 13 83 32 to arrange for its provision. Additional information may also be found at mycreditfile.com.au.

IMPORTANT INFORMATION REGARDING YOUR CREDIT REPORT

When requesting a copy of your Credit Report, you are required to provide the following details:

- Family Name, First Name, Middle Name, Salutation [as stated in the Personal Particulars section of your VGCCC application form];
- any **preferred** given name [as stated in the Personal Particulars section of your VGCCC application form];
- Any other name(s) you have used - List any other name(s) by which you are currently known or were known previously, such as alias(es), anglicised name(s), maiden name, married name(s) and name(s) changed via deed poll [as stated in the Personal Particulars section of your VGCCC application form]; and
- Current and Previous Residential Address Date of Birth and Driver Licence Number (if available).

Your Credit Report and any other letter from the Credit Report provider advising of the result of the search conducted must accompany your VGCCC application form. **If you fail to meet any of the requirements noted below, your VGCCC application form will be considered incomplete and returned to you.** Your Credit Report must:

- be original and not a photocopy;
- be submitted to the VGCCC within three months from its date of issue;
- include your current name and any other name(s) that you are currently or were previously known by, such as any **preferred** given name, alias(es), anglicised name, maiden name, name(s) changed via deed poll;
- correctly disclose your date of birth, address and driver licence number (if available); and
- include **all** pages of the report as provided to you by the Credit Report provider.

If you find any issues with your Credit Report, e.g. it is incomplete or you wish to dispute any of the information disclosed therein, you should contact the Credit Report provider (see contact details above) and resolve the issue/s **prior** to lodging your VGCCC application form.